## Representation Learning & Unsupervised Transfer for Alzheimer's Disease in MRI

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### Summer:

- Internship @ KLA
- A little bit of research on the side...

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### **Presentation:**

- Very little MRI here, sorry for misleading name 😔
- Previously, I spoke about classification of Alzheimer's in sMRI
- Due to potential confounders, labels can be misleading...
  - Chronic stress, depression, PTSD can play a role
- Let's change focus and pose the problem without the use of labels
  - Train model to extract relevant image features

### **Representation Learning**





2024

 $\beta$ -VAE [1] Reconstruction Loss "Nonlinear PCA" SimCLR [2] Contrastive Loss Attract & Repel AUC-CL [3] Contrastive Loss Attract & Repel

**SimSiam** [4] Similarity Loss Attract Only

### **Contrastive Learning (SimCLR)**



Figure 1: SimCLR framework.

$$\sin \bigl( z_i, z_j \bigr) = \frac{z_i^T}{\| z_i \|_2} \frac{z_j}{\| z_j \|_2}$$

$$l(i,j) = -\log \bigg( \frac{\exp(\sin(z_i,z_j) \cdot \tau^{-1})}{\sum_{k=1}^{2N} \mathbb{I}_{[k \neq i]} \exp(\sin(z_i,z_j) \cdot \tau^{-1})} \bigg)$$

$$\mathcal{L} = \frac{1}{2N} \sum_{k=1}^{N} [l(2k-1,2k) + l(2k,2k-1)]$$

### "Contrastive" Learning (SimSiam)



$$p_1 = h(f(x_1)), z_2 = f(x_2)$$
 
$$\mathcal{D}(p_1, z_2) = -\frac{p_1^T}{\|p_1\|_2} \frac{z_2}{\|z_2\|_2}$$

$$\mathcal{L} = \mathcal{D}(p_1, \operatorname{stopgrad}(z_2))$$

Figure 2: SimSiam framework.

### **Contrastive Learning vs. Instance Discrimination**

- Contrastive approach = pushing away from samples
- Instance discrimination = pull only

- If positive sample = class dog, what happens if negative samples have dog?
  - Is latent space worse? From my observations, this is not the case!

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As a side note, both methods benefit from higher batch size so one aspect to explore is small batch size effects.

### **Small Batch Size CL**

I worked with SimSiam (pull only method) I suspect small batches lead to cost function "instability"

Idea 1: Retain a memory bank and add kNN such as:  $\mathcal{L} = \mathcal{D}(p_1, \text{sg}(z_2)) + \sum_{k=1}^K \mathcal{D}(p_1, \text{sg}(\text{NN}(z_2, k)))$ 

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Idea 2: Create a mixed model of CL and AE:  $\mathcal{L} = \mathcal{D}(p_1, \operatorname{sg}(z_2)) + \lambda \| \ x_1 - \operatorname{AE}_{\operatorname{DECODE}}(p_1) \|_2^2$ 

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Both ideas failed 😔 so I turned to the literature

### AUC-CL (Small Batch Size CL)

AUC-CL (ICLR2024) is a new method that outperforms others that attempt to mitigate small batch size

AUC-CL is batch size robust. For parameters  $v_{\rm t}$  , lr  $\eta,$  batch size  $\mathit{B},$  and timestep t sampled from  $\mathit{T},$ 

$$E \|\nabla L_{AUC}(v_t)\|^2 \leq O\left(\frac{1}{\eta T} + \eta + \frac{1}{B}\right) \quad \text{Biased}$$
$$E \|\nabla L_{NTXent}(v_t)\|^2 \leq O\left(\frac{1}{\eta T} + \eta\right) \quad \text{Unbiased}$$



### sMRI Comparisons (2 class AD/CN)

For balanced dataset of equal AD/CN ADNI subjects:

Method	AUC-CL	VAE
kNN	81%	67%
Linear Probe	79%	53%

Both models trained from scratch using only ADNI AD/CN sMRI data. For comparisons, standard classification CNN gives 86% ACC.

Could be improved depending on data augmentations?

### Latent Visualizations

No results here, 2D space is too restrictive. No good separation for VAE or AUC-CL (256 dimensional space)

## Clustering How do we find labels in our data?

### **Unsupervised Learning - Clustering**

1950s-2000s

2015-2020

2021-2024

**Kmeans** [5] Centroid method Clustering only

**Spectral Clustering** [6] Distance metric method Clustering only **DeepCluster** [7]

Cross Entropy Loss Backbone + Cluster

**DeepKMeans** [8] Joint Loss VAE + Kmeans **Contrastive Clustering** [9] Contrastive Loss Backbone + Cluster

**TURTLE** [10] Unsupervised SVM Clustering only

### **Publication**

Let Go of Your Labels with Unsupervised Transfer Artyom Gadetsky\*, Yulun Jiang\*, Maria Brbić. International Conference on Machine Learning (ICML), 2024.

For data *x*, let  $\phi(x)$  be latent variables. Solve the following:

$$\mathcal{L}_{\text{TURTLE}}(\theta) = \sum_{x \in \mathcal{D}} \mathcal{L}_{ce}(\underline{w_{\theta}} \cdot \phi(x); \tau_{\theta}(\phi(x))) \text{ s.t. } w_{\theta} = \Xi(w_{\theta}, \mathcal{D})$$

$$\min_{\theta} \mathcal{L}_{\text{TURTLE}}(\theta) - \gamma \underbrace{\mathbb{H}(\overline{\tau_{\theta}})}_{\text{reg.}} \text{ where } \overline{\tau_{\theta}} = |\mathcal{D}|^{-1} \sum_{x \in \mathcal{D}} \tau_{\theta}(\phi(x))$$

 $w_{\theta}$  = learnable hyperplane,  $\tau_{\theta}$  = learnable "classifier",  $\overline{\tau_{\theta}}$  = empirical dist.

Given random  $\tau$ , first "classify" data as follows:



Now given fixed  $\tau$ , find optimal w as follows:



Alternate between the two until optimal solution reached:



TURTLE = Unsupervised SVM method reminiscent of K-means (**opinion**)

### **PET-TURTLE (Prior Enforcement Term TURTLE)**

TURTLE objective:  $\min_{\theta} \mathcal{L}_{\text{TURTLE}}(\theta) - \gamma \underbrace{\mathbb{H}(\overline{\tau_{\theta}})}_{\text{reg.}} \text{ where } \overline{\tau_{\theta}} = |\mathcal{D}|^{-1} \sum_{x \in \mathcal{D}} \tau_{\theta}(\phi(x))$ I propose the following generalization for imbalanced data:  $\min_{\theta} \mathcal{L}_{\text{TURTLE}}(\theta) + \gamma \mathcal{R}(\overline{\tau_{\theta}}) \text{ where } \mathcal{R}(\overline{\tau_{\theta}}) = D_{\text{KL}}\left(\underbrace{s}_{\text{ort}}(\overline{\tau_{\theta}}) \parallel \Pi\right)$ 

 $\Pi$  can be many things such as:

- Uniform if balanced
- Known for some applications
- Surrogate priors can be utilized...

### **Powerlaw Distribution**



Powerlaw = heavy tail distribution

### **Powerlaw Distribution: Applications**

### Astronomy [edit]

- Kepler's third law
- The initial mass function of stars
- The differential energy spectrum of cosmic-ray nuclei
- The M-sigma relation
- Solar flares

### Biology [edit]

- Kleiber's law relating animal metabolism to size, and allometric laws in general
- The two-thirds power law, relating speed to curvature in the human motor system.<sup>[17]</sup>
- The Taylor's law relating mean population size and variance of populations sizes in ecology
- Neuronal avalanches<sup>[5]</sup>
- The species richness (number of species) in clades of freshwater fishes<sup>[18]</sup>
- The Harlow Knapp effect, where a subset of the kinases found in the human body compose a majority of published research<sup>[19]</sup>
- The size of forest patches globally follows a power law <sup>[20]</sup>
- The species-area relationship relating the number of species found in an area as a function of the size of the area

### Chemistry [edit]

Rate law

### Climate science [edit]

- Sizes of cloud areas and perimeters, as viewed from space<sup>[3]</sup>
- The size of rain-shower cells<sup>[21]</sup>
- Energy dissipation in cyclones<sup>[22]</sup>
- Diameters of dust devils on Earth and Mars <sup>[23]</sup>

### General science [edit]

- Exponential growth and random observation (or killing)<sup>[24]</sup>
- Progress through exponential growth and exponential diffusion of innovations<sup>[25]</sup>
- Highly optimized tolerance
- Proposed form of experience curve effects
- Pink noise
- The law of stream numbers, and the law of stream lengths (Horton's laws describing river systems)[26]
- Populations of cities (Gibrat's law)[27]
- Bibliograms, and frequencies of words in a text (Zipf's law)<sup>[28]</sup>
- 90-9-1 principle on wikis (also referred to as the 1% rule)<sup>[29][30]</sup>
- Richardson's Law for the severity of violent conflicts (wars and terrorism)<sup>[31][32]</sup>
- The relationship between a CPU's cache size and the number of cache misses follows the power law of cache misses.
- The spectral density of the weight matrices of deep neural networks<sup>[33]</sup>

### Economics [edit]

- Population sizes of cities in a region or urban network, Zipf's law.
- Distribution of artists by the average price of their artworks.<sup>[34]</sup>
- Income distribution in a market economy.
- Distribution of degrees in banking networks.<sup>[35]</sup>
- Firm-size distributions.[36]

### Finance [edit]

- Returns for high-risk venture capital investments<sup>[37]</sup>
- The mean absolute change of the logarithmic mid-prices<sup>[38]</sup>
- Large price changes, volatility, and transaction volume on stock exchanges<sup>[39]</sup>
- Average waiting time of a directional change<sup>[40]</sup>
- Average waiting time of an overshoot

### Mathematics [edit]

- Fractals
- Pareto distribution and the Pareto principle also called the "80-20 rule"
- Zipf's law in corpus analysis and population distributions amongst others, where frequency of an item or event is inversely
  proportional to its frequency rank (i.e. the second most frequent item/event occurs half as often as the most frequent lem,
  the third most frequent lem/event occurs one third as often as the most frequent lem, and so on).
- Zeta distribution (discrete)
- Yule–Simon distribution (discrete)
- Student's t-distribution (continuous), of which the Cauchy distribution is a special case
- Lotka's law
- The scale-free network model

### Physics [edit]

- The Angstrom exponent in aerosol optics
- The frequency-dependency of acoustic attenuation in complex media
- The Stefan-Boltzmann law
- The input-voltage-output-current curves of field-effect transistors and vacuum tubes approximate a square-law relationship, a factor in "tube sound".
- Square-cube law (ratio of surface area to volume)
- A 3/2-power law can be found in the plate characteristic curves of triodes.
- The inverse-square laws of Newtonian gravity and electrostatics, as evidenced by the gravitational potential and Electrostatic potential, respectively.
- Self-organized criticality with a critical point as an attractor
- Model of van der Waals force
- · Force and potential in simple harmonic motion
- Gamma correction relating light intensity with voltage
- Behaviour near second-order phase transitions involving critical exponents
- The safe operating area relating to maximum simultaneous current and voltage in power semiconductors.
- Supercritical state of matter and supercritical fluids, such as supercritical exponents of heat capacity and viscosity.[41]
- The Curie-von Schweidler law in dielectric responses to step DC voltage input.
- The damping force over speed relation in antiseismic dampers calculus
- Folded solvent-exposed surface areas of centered amino acids in protein structure segments<sup>[42]</sup>

### Political Science [edit]

Cube root law of assembly sizes

### Psychology [edit]

- Stevens's power law of psychophysics (challenged with demonstrations that it may be logarithmic<sup>[43][44]</sup>)
- The power law of forgetting<sup>[45]</sup>

### **Permutation Issue**



## Experiments

- Balanced datasets: CIFAR10 & FOOD101
- CIFAR10 test size for each cluster: 1000
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- $\alpha$  = decay factor for cluster size
- $\alpha = 2 \Rightarrow$  cluster sizes: 1000, 500, 250, ...
- CIFAR10-LT ( $\alpha = 2$ ) & FOOD101-LT ( $\alpha = 1.05$ )

## Experiments

- Balanced datasets: CIFAR10 & FOOD101
- CIFAR10 test size for each cluster: 1000
- FOOD101 test size for each cluster: 750
- $\alpha$  = decay factor for cluster size
- $\alpha = 2 \Rightarrow$  cluster sizes: 1000, 500, 250, ...
- CIFAR10-LT ( $\alpha = 2$ ) & FOOD101-LT ( $\alpha = 1.05$ )
- I use CLIP trained Resnet50 to extract latent variables for this data
- I explore having/not having a bottom floor for cluster size

## **Prior Distribution Comparisons**



Figure 13: No clip on cluster size.



Figure 14: Cluster size clipped.

### **Accuracy Comparisons**

Distribution Type	CIFAR10-LT	FOOD101
Paper	66.0%	53.4%
Powerlaw (0.5)	70.5%	67.8%
Powerlaw (1.0)	72.8%	-
Powerlaw (2.0)	71.9%	-
Truth Prior	73.5%	69.6%

### **Confusion Matrices (truth on y-axis)**





Figure 15: TURTLE on Food101.

Figure 16: PET-TURTLE on Food101.

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- Estimate prior distribution  $\Pi$  along the way with  $\tau,w$  ?
  - May need reformulation, otherwise could estimate empirical dist.

### **PET-TURTLE: Potential Next Steps**

- Explore other terms besides KL divergence such as Wasserstein distance
- Estimate prior distribution  $\Pi$  along the way with  $\tau,w$  ?
  - May need reformulation, otherwise could estimate empirical dist.
- Generalize to do joint learning from sMRI & fMRI as shown:

$$\sum_{x \in \mathcal{D}} \sum_{k=1}^{2} \mathcal{L}_{ce} \left( w_{\theta}^{k} \cdot \phi^{k} (x^{k}); \tau_{\theta} (\phi^{k} (x^{k})) \right) \text{ s.t. } w_{\theta}^{k} = \Xi \left( w_{\theta}^{k}, \mathcal{D} \right) \ \forall k$$

## Next Steps (4D fMRI Temporal Encoder)



### Goal:

Create 4D encoder to temporally distill patient state from entire session Could be used in CL, supervised CL, or standard classification CE loss

# Thesis Proposal Dimensionality Reduction & Clustering

### **Classical ML Methods**

**Deep Learning Methods** 

Subspace Learning

► ALPCAH: ►
PCA + Heteroscedastic Data

**Subspace Clustering** 

ALPCAHUS: 
UoS + Heteroscedastic Data

**Representation Learning** 

**METHOD:** 

4D fMRI Temporal Encoder

Unsupervised Learning

PET-TURTLE: 
Joint Clustering + Data Imbalance

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